

Function	Cluster	Inspection/Audit Activity Title	Focus Area	Year	Date
Operations	Children's & Family Services	Education Scotland Inspections of Schools	HGIOS4	Continuous	Continuous
Operations	Children's & Family Services	Care Inspectorate Inspections of ELC	ELC and National Standards	Continuous	Continuous
Operations	Children's & Family Services	Health and Safety team compliance visits to schools	Covid security	2021	Continuous
Operations	Children's & Family Services	Performance reports to Committee	Attainment data	Continuous	Triannual
Operations	Children's & Family Services	Annual reporting of Risk Registers to Committee	Risks	Continuous	6 Monthly
Operations	Children's & Family Services	Internal audit	Risks	Continuous	Continuous
Operations	Children's & Family Services	Children's Social Care	Procedure Compliance - Children with Disabilities Contract & Direct Payments	2021	September
Operations	Children's & Family Services	Adult Support & Protection (ASP) - Care Inspectorate	Assurance - National and Local Support and Protection Arrangements	Suspended	Suspended
Operations	Digital & Technology	PCI Technical Assessment	Security	Continuous	Quarterly
Operations	Digital & Technology	External Network Penetration Assessment	Security	2021	September
Operations	Digital & Technology	Internal IT Health Check	Security	2021	October
Operations	Digital & Technology	Cyber Resilience Self Assessment	Security	Continuous	Continuous
Operations	Operations & Protective Services	UK Logistics (FTA)	Fleet	2021	TBC
Operations	Operations & Protective Services	Quality Management System, BSI 9001:2015	FM Catering	2021	6 Monthly
Operations	Operations & Protective Services	SECT (Managing Agency NICEIC) Approved Contractor Scheme Periodic Audit	Building Services	2021	Periodic
Operations	Operations & Protective Services	Gas Safety Register	Building Services	TBC	TBC
Operations	Operations & Protective Services	Skills Development Scotland (Managing Agency CITB)	Building Services	TBC	TBC
Operations	Operations & Protective Services	Scottish, Northern Ireland Employers Federation (Tullis Training)	Building Services	2021	TBC
Operations	Operations & Protective Services	Financial Administration - Waste	Control Assurance - Financial Administration - payroll, timesheets and purchasing	2022	May
Customer	Customer Experience	DWP Housing Benefit Review	Compliance	2021	June
Customer	Customer Experience	Non-Domestic Rates NDRI – External Audit	Compliance	2021	July
Customer	Customer Experience	Internal Audit - Debt Recovery	Procedure compliance - Debt Recovery procedures	2022	February
Customer	Early Int. & Community Emp.	Internal Audit - Private Sector Housing	Compliance and effectiveness of controls	2022	May
Customer	Data & Insights	Internal Audit	Audit of service processes and procedures and risk	Continuous	Continuous
Customer	Digital & Technology	Internal Audit - IT Infrastructure Resilience	Assurance - Procurement and adequacy of Council's IT Infrastructure Systems	2021	June
Customer	Digital & Technology	Internal Audit - Cyber Security	Assurance - Assurance and further development of Cyber Controls	Continuous	Continuous
Customer	Digital & Technology	Internal Audit - Social Care System	Assurance - Development of controls for new Social Care System	2021	Continuous
Commissioning	Governance	Annual Credit Rating Assessment	Credit Rating	2021	November
Commissioning	Governance	Best Value Audit	Governance	2021	June
Commissioning	Governance	External Audit Annual Report	Governance	2021	June
Commissioning	Governance	Internal Audit - Licensing Income	Assurance - Processes for controlling income from Licensing applications	2021	June
Commissioning	City Growth	ACRD Scotland, Aberdeenshire & Internal Audits	Compliance	2021	Summer
Commissioning	City Growth	ACRD Annual Conversation	Compliance	2021	December
Commissioning	City Growth	AAGM Building / Equipment inspections organised by Assets Team	Compliance	2021	Various
Commissioning	City Growth	AAGM Firearms license inspection undertaken by Police Scotland	Compliance	2021	TBC
Commissioning	City Growth	AAGM Collections insurance compliance by external provider	Compliance	2021	TBC
Commissioning	City Growth	AAGM Environmental Health - catering outlets	Compliance	2021	TBC
Commissioning	City Growth	Interreg Projects	Compliance - Grant Claims in accordance with Programme requirements	2021	As Required
Commissioning	Strategic Place Planning	Internal Audit - Client Transport	Assurance - Procurement, provision and management of transport	2022	February
Commissioning	Strategic Place Planning	Internal Audit - Income	Assurance and Compliance - Fee Income Controls	2022	May
Commissioning	Commercial & Procurement	Internal Audit	Audit of service processes and procedures and risk	Continuous	Continuous
Resources	People & Organisation	Quality of Working lives	Staff Wellbeing	2021	March
Resources	People & Organisation	Investors in Young People	Developing Young Workforce	2021	March
Resources	People & Organisation	Disability Confident (Annual)	Equality and Diversity	Continuous	Continuous
Resources	People & Organisation	Equally Safe at Work	Equality and Diversity	2022	February
Resources	Capital	Internal Audit	Setting, progressing & monitoring Capital Programme	2021	Summer
Resources	Corporate Landlord	Internal Audit - Land and Property	Review systems and process in place for Council owned Land and Property	2022	February
Resources	Finance	External Audit Annual Report	Finance	Annual	Jan-June 2021
Resources	Finance	Internal Audit - Financial Recommendations	Finance	Continuous	Continuous
Resources	Finance	Internal Audit - Follow the Public Pound	Policy compliance - Grant Funding to External Organisations	2022	February
Resources	Finance	Internal Audit - Revenue Budget Monitoring	Assurance of controls and procedures	2021	December
Resources	Finance	Internal Audit - Financial System Interfaces and Reconciliations	Assurance of checks and controls	2021	September
Resources	Finance	Annual Credit Rating Assessment	Finance	2021	November
Resources	Finance	Stock Exchange Reporting and Compliance	Finance	Continuous	Various
Resources	Finance	National Audit Reports and BV Audit - Finance/Going Concern	Finance	Annual	Jan-June 2021
Resources	Finance	HMRC VAT and Tax	Finance	2021	February
Resources	Finance	Charities Commission Accounts and Reports - Annual	Finance	Annual	December
Resources	Finance	SG Returns - LFR/POBE	Finance	Annual	Dec/April
Cross Service	Cross-Service	Internal Audit - Commissioning	Progress in Commissioning Approach in Council Delivery Plan	2021	September
Cross Service	Cross-Service	Internal Audit - Payroll & HR System Amendments	Review payroll and payment controls	2021	December
Cross Service	Cross-Service	Internal Audit - Compliance with Procurement Regulations	Compliance - Support CPS in developing controls to improve compliance	2021	Continuous
Cross Service	Cross-Service	Internal Audit - Staff Resourcing	Procedure compliance - Internal Movement of Staff, use of Relief & Agency	2022	May
Cross Service	Cross-Service	Internal Audit - Recruitment Process	Procedure compliance - Policy and Procedure	2021	December
Cross Service	Cross-Service	Internal Audit - Attendance Management	Procedure compliance - Policy and Procedure	2021	December
H&SCP	H&SCP	Internal Audit - Care Establishments	Assurance - Financial Administration and Purchasing Controls	2021	December
H&SCP	H&SCP	Internal Audit - Care Management Recording & Transactions	Assurance - Co-ordination, recording and payment for Care Services	2022	May
IJB	IJB	Internal Audit - Health & Social Care Transformation Programme	Assurance - Progress with delivery of Transformational Agenda	2022	February
IJB	IJB	Internal Audit - Reporting Internal Audit outputs to IJB AR&P Committee	Assurance - Areas audited	Continuous	Continuous
Pension Fund	Pension Fund	Internal Audit - Pensions System	Assurance - Key System Controls	2022	February
Pension Fund	Pension Fund	Internal Audit - Reporting Internal Audit outputs to Pensions Committee	Assurance - Areas audited	Continuous	Continuous